

01-11-01.

A.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

PATENT APPLICATION

Inventor(s): John S. Flowers, Thomas C. Stracener

SC/Serial No.: Unknown

Filed: Herewith

Title: QUERY-BASED RULES FOR USE IN NETWORK  
SECURITY SYSTEMS

Customer No. 23910

JCS11 U.S. PTO  
09/757872  
01/10/01

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EL670727763US

Date of Mailing: January 10, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

Matthew A. Mahling (Signature)  
Matthew A. Mahling  
Signature Date: January 10, 2001

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER UNDER 37 C.F.R §1.53(b)**

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application identified as follows:

Inventor(s):

Title:

No. of pages in Specification: 33; No. of Claims: 29.

No. of Sheets of Drawings: 4; Formal: X, Informal:   .

Also enclosed are:

- ☐ A Declaration.
- ☐ An Assignment and Recordation Form Cover Sheet.
- ☐ A certified copy of a priority application.
- ☐ A Power of Attorney.
- ☐ A Statement Claiming Small Entity Status.
- ☐ An Information Disclosure Statement under 37 C.F.R. §1.56.
- ☒ A Request for Non-publication.

The filing fee pursuant to 37 C.F.R. §1.16 is determined as follows:

No. Filed	No. Extra	Rate Small Entity/ Other Than Small Entity		
Basic Fee		\$355.00 \$710.00	=	\$710.00
Total Claims <u>29</u> - 20	= <u>9</u> *	\$ 9.00 \$ 18.00	=	\$162.00
Independent Claims <u>10</u> - 3	= <u>7</u> *	\$ 40.00 \$ 80.00	=	\$560.00
First Presentation of Multiple Dependent Claim(s)		\$135.00 \$270.00	=	\$0
		Total	=	\$1,432.00

\*If the difference is less than zero, enter "0".

- ☐ Please charge Deposit Account No. 06-1325 in the amount of \$\_\_. A duplicate copy of this authorization is enclosed.
- ☒ A check in the amount of \$ 1,432.00 to cover the filing fee is enclosed.

X The Commissioner is hereby authorized to charge underpayment of any additional fees (including those listed below) or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

X Any additional filing fees under 37 C.F.R. §1.16.

X Any patent application processing fees under 37 C.F.R. §1.17.

This application is filed pursuant to 37 C.F.R. §1.53(b) in the name of the above-identified Inventor(s).

X This application claims priority to an earlier-filed Provisional patent application, as set forth more fully in this application.

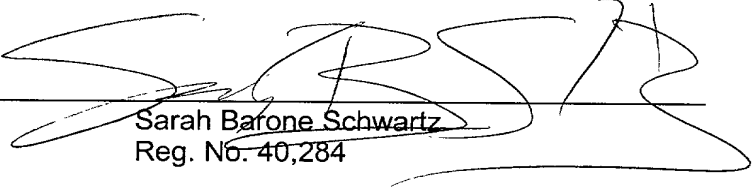
Please direct all correspondence concerning the above-identified application to the following address:

Sarah Barone Schwartz  
FLIESLER, DUBB, MEYER & LOVEJOY LLP  
Four Embarcadero Center, Suite 400  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800

Respectfully submitted,

Date: January 10, 2001

By: \_\_\_\_\_

  
Sarah Barone Schwartz  
Reg. No. 40,284

FLIESLER, DUBB, MEYER & LOVEJOY LLP  
Four Embarcadero Center, Suite 400  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800

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PATENT APPLICATION

Inventor(s): J. Flowers, T. Stracener

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Title: QUERY-BASED RULES FOR USE  
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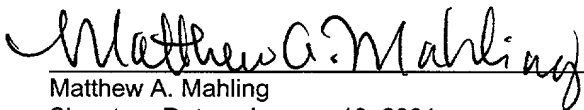


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 (Signature)  
Matthew A. Mahling  
Signature Date: January 10, 2001

**NON-PUBLICATION REQUEST AND CERTIFICATION**  
**UNDER 35 U.S.C. 122(b)(2)(B)(i)**

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

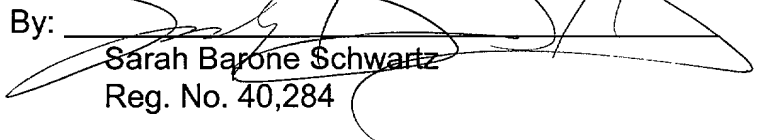
Sir:

I hereby certify that the invention disclosed in the application submitted herewith **has not and will not be** the subject of an application filed in another country, or under a

multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the application submitted herewith **not be published** under 35 U.S.C. 122(b).

Respectfully submitted,

Date: January 10, 2001

By:   
Sarah Barone Schwartz  
Reg. No. 40,284

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San Francisco, California 94111-4156  
Telephone: (415) 362-3800